

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)**

BOX PCT

COMPLETION
For PCT Cases Only

In re PATENT APPLICATION of

Inventor(s): CHOO

Appln. No.: 09 | 646,353
Series Code ↑ | Serial No. ↑

Atty. Dkt. PM 273884 P004287US
M# Client Ref

National Phase Field

Based on PCT GB99 00816
↑ Country Code & Year

↑ Country Code & Year

NUCLEIC ACID BINDING PROTEINS

Date: November 27, 2000

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE

Asst. Commissioner of Patents

Washington, DC 20231

Adjustment date: 05/30/2001 LLANDGRA
11/29/2000 ERIMANDU 00000037 05645333
01 FC:967 -01.00 DP
02 FC:964 -00.00 DP
04 FC:869 -135.00 DP

Sir

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) copy attached not yet received

2. Signed Declaration Original Facsimile/Copy with spec/claims attached

3. Translation of the International Application into English including:

a. Request; b. Abstract
 c. ___ pgs. Spec. and Claims; d. Translation verification
 e. ___ sheets Drawing which are: informal formal of size A4 11"

4. Copy of International Search Report (ISR) attached (___ page(s))
 a. plus Annex of family members (___ page(s))

5. Information Disclosure Statement including 11/29/2000 ERIMANDO 00000037 09646353
 01 FC:967

a. From PTO-1449 listing documents 02 FC:964 61.00 OP
 b. Copies of document(s) listed on Form PTO-1449 03 FC:969 80.00 OP
 c. A concise explanation of ISR references is given in the ISR 04 FC:254 135.00 OP
 65.00 OP

6. Assignment and cover sheet. Please return the recorded assignment to the undersigned.

7. Copy of Power to international application agent 05/30/2001 LLANDGRA 00000045 09646353
 01 FC:966 18.00 OP

8. 2 (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.

9. Formal Drawings: ___ sheet(s) informal; formal of size: A4 11"

10. Please immediately start national examination procedures (35 USC 371(f))

11. Attached:
 12. Preliminary Amendment:
 13. Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:
 14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item

12 (above) or item(s) in PAT-112 (filed previously) 12 14 17 25

15. **CLAIMS FEES** previously paid paid herewith as follows:

15A. Small Entity Statement Herewith Previously Filed

			Large/Small Entity		Fee Cod
16. Total Effective Claims	29	minus 20 =	9	x \$18/\$9	+81
17. Independent Claims	4	minus 3 =	1	x \$80/\$40	+40
18. If any proper multiple dependent claim (ignore improper) is present,				\$270/\$135	+135
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now				\$130/\$65	+65
20..				SUBTOTAL	\$321
21. Original due date:	December 14, 2000				
22. Petition is hereby made to extend the <u>original</u> due date to (1 mo) cover the date this response is filed for which the requisite fee (2mos) is attached (3mos) (4mos)			\$110/\$55 = \$390/\$195 = \$890/\$445 = \$1390/\$695 =	+0	115/215 116/216 117/217 118/218
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee			\$130	+0	156
24. If "assignment" box 6 is X'd, add recording fee.			\$40	+40	581
25.			TOTAL FEE ENCLOSED =	\$361	

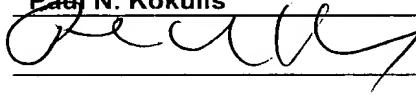
(Our Deposit Account No. 03-3975)
 (Our Order No. 71278 | 273884
 C# | M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Madison & Sutro LLP
Intellectual Property Group

1100 New York Avenue, NW
 Ninth Floor
 Washington, DC 20005-3918
 Tel: (202) 861-3000
 PNK/MAH

By Atty: Paul N. Kokulis Reg. No. 16773
 Sig:  Fax: (202) 822-0944
 Tel: (202) 861-3503

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

PTO/PCT Rec'd 27 NOV 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT
APPLICATION

Inventor(s): CHOO
Appln. No.: 09 | 646,353
Series Code ↑ | Serial No. ↑

Filed: September 18, 2000

Hon. Commissioner of Patents
Washington, D.C. 20231

Sir:

Group Art Unit Unknown
Examiner: Unknown
Atty. Dkt. PM 273884 | P004287US
M# Client Ref
Appln. Title: NUCLEIC ACID BINDING
PROTEINS

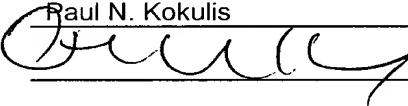
Date: November 27, 2000

Please note the following change to/from Small Entity Status:

CLAIMED NOW: Applicant hereby claims Small Entity Status
 WITHDRAWN NOW: Applicant hereby withdraws Small Entity Status

Respectfully Submitted
Pillsbury Madison & Sutro LLP
Intellectual Property Group

1100 New York Avenue, NW
Ninth Floor
Washington, DC 20005-3918
Tel: (202) 861-3000
Atty/Sec: PNK/MAH

By Atty: Paul N. Kokulis Reg. No. 16773
Sig:  Fax: (202) 822-0944
Tel: (202) 861-3503

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PTO/PCT Rec'd 27 NOV 2000

In re PATENT (application) of
Inventor(s): CHOOAppln. No.: 09 | 646,353
Series Code ↑ ↑ Serial No.Atty. Dkt PM 273884 | P004287US
M# | Client R f

Filed: September 18, 2000

Group Art Unit : Unknown

Patent No.

Examiner: Unknown

Issued:

Allowed (date):

Title: NUCLEIC ACID BINDING PROTEINS

Issue Batch No.:

Date: November 27, 2000Hon. Commissioner of Patents
Washington, D.C. 20231Attention: Refund Section,
Accounting Division,
Office of Finance**SMALL ENTITY CLAIM AND REFUND REQUEST**

Sir:

Since the filing fee issue fee maintenance fee other fee: _____
 was paid within the last three months*, i.e., on September 18, 2000 please refund one-half of that fee by crediting
\$420.00 to our Account/Order Nos. shown below on the basis that this case is entitled to small entity status.

(Our Deposit Account No. 03-3975)

(Our Order No. 71278 | 273884)

C# | M#

Respectfully submitted,

Pillsbury Madison & Sutro LLP
Intellectual Property Group

1100 New York Avenue, NW
 Ninth Floor
 Washington, DC 20005-3918
 Tel: (202) 861-3000

By: Atty: Paul N. KokulisReg. No. 16773Sig: Paul N. KokulisFax: (202) 822-0944
Tel: (202) 861-3503

Enclosures
 Atty/Sec: PNK/MAH

*Three months is not extendible!
 (PTO Rule 28(a))

Note: File this sheet in
 duplicate with PTO
 receipt (PAT-103A)



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/646353	Y	PM273884
PILLSBURY MADISON & SUTRO NINTH FLOOR 1100 NEW YORK AVENUE NW WASHINGTON, DC 20005 3918		INTERNATIONAL APPLICATION NO.
		PCT/GB99/00816
I.A. FILING DATE		PRIORITY DATE
17 MAR 99		17 SEP 00
DATE MAILED:		14 NOV 2000

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

a Designated Office (37 CFR 1.494),
 an Elected Office (37 CFR 1.495):

U.S. Basic National Fee.

Copy of the international application in:

a non-English language.
 English.

Translation of the international application into English.

Oath or Declaration of inventors(s) for DO/EO/US.

Copy of Article 19 amendments.

Translation of Article 19 amendments into English.

The International Preliminary Examination Report in English and its Annexes, if any.

Translation of Annexes to the International Preliminary Examination Report into English.

Preliminary amendment(s) filed _____ and _____.

Information Disclosure Statement(s) filed 18 sep 2000 and _____.

Assignment document.

Power of Attorney and/or Change of Address.

Substitute specification filed _____.

Verified Statement Claiming Small Entity Status.

Priority Document.

Copy of the International Search Report and copies of the references cited therein.

Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY 21 OR 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes MUST be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR. 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed:

PCT/DO/EO/917

Notice of Defective Translation

PTO-875

FORM PCT/DO/EO/905 (December 1997)

Paulette Kidwell, Paralegal

Telephone: 703-305-3656